

# Concept of Stability as a Whole of a Family of Fibers Maps for $C^1$ -Smooth Skew Products and Its Generalization

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# Preliminaries I

Let  $I = I_1 \times I_2$  be a closed rectangle in the plane ( $I_1, I_2$  are closed intervals). We consider a skew product of maps of an interval, i. e. a dynamical system  $F : I \rightarrow I$ , where

$$F(x, y) = (f(x), g_x(y)), \quad \text{and} \quad g_x(y) = g(x, y), \quad (x; y) \in I. \quad (1)$$

By formula (1) the equality

$$F^n(x, y) = (f^n(x), g_{x,n}(y)), \quad \text{where} \quad g_{x,n} = g_{f^{n-1}(x)} \circ \dots \circ g_x, \quad (2)$$

is valid for every natural number  $n$  and every point  $(x; y) \in I$ .

Let, as usually,  $T^0(I)$  ( $T^1(I)$ ) be the space of all continuous (all  $C^1$ -smooth) skew products of maps of an interval with the standard  $C^0$ -norm (the standard  $C^1$ -norm).

## Preliminaries II

Denote by  $C_{\partial_k}^1(I_k)$  ( $k = 1, 2$ ) the subspace of the space  $C^1(I_k)$  (of  $C^1$ -smooth maps of the segment  $I_k$  into itself with the standard  $C^1$ -norm), which consists of all maps  $\psi \in C^1(I_k)$  satisfying the condition of  $\psi$ -invariance of the boundary  $\partial I_k$  of the segment  $I_k$ :

$$\psi(\partial I_k) \subseteq \partial I_k.$$

A map  $\xi \in C_{\partial_k}^1(I_k)$  ( $k = 1, 2$ ) is  $\Omega$ -stable in  $C^1$ -norm (i. e. in the space  $C_{\partial_k}^1(I_k)$ ) if for every  $\delta > 0$  there exists  $\varepsilon > 0$  such that for every map  $\varphi \in B_{k,\varepsilon}^1(\xi)$  one can find  $\delta$ -closed in  $C^0$ -norm to the identity map homeomorphism  $h : \Omega(\xi) \rightarrow \Omega(\varphi)$  satisfying the equality

$$h \circ \xi|_{\Omega(\xi)} = \varphi|_{\Omega(\varphi)} \circ h, \quad (3)$$

where  $B_{k,\varepsilon}^1(\cdot)$  is  $\varepsilon$ -neighborhood of a map in the space  $C_{\partial_k}^1(I_k)$  (with respect to  $C^1$ -norm);  $\Omega(\cdot)$  is the nonwandering set of a map.

# Properties of $C^1$ -smooth $\Omega$ -stable maps of an interval

**Proposition 1.** *Let  $f \in C^1_\omega(I_1)$ . Then*

- (1.1) *either  $f$  is a map of type  $\prec 2^\infty$  (i. e. the set of the (least) periods of  $f$ -periodic points coincides with the set  $\{1, 2, \dots, 2^\mu\}$  for some  $0 \leq \mu < +\infty$ ), and in this case the nonwandering set  $\Omega(f)$  is finite and consists of hyperbolic periodic points;*
- (1.2) *or  $f$  is a map of type  $\succ 2^\infty$  (i. e. there exists an  $f$ -periodic point  $x$  ( $x \in \text{Per}(f)$ ) with the (least) period  $n(x) \notin \{2^i\}_{i \geq 0}$ ), and in this case the nonwandering set  $\Omega(f)$  is the union of finitely many hyperbolic periodic points and finitely many locally maximal quasiminimal sets, which are hyperbolic, perfect and nowhere dense. ("Locally maximal" means "maximal in a neighborhood of itself".)*

*The set  $C^1_\omega(I_1)$  is open and everywhere dense in  $C^1_{\partial_1}(I_1)$ .*

# Choice of the space

Define the space

$$T_*^1(I)$$

of  $C^1$ -smooth skew products of maps of an interval (with the standard  $C^1$ -norm) as the subspace of the space

$$T^1(I),$$

which consists of skew products of maps of an interval with quotients from the space

$$C_\omega^1(I_1).$$

As it follows from Proposition 1, the set  $T_*^1(I)$  is open and everywhere dense in the subspace of the space  $T^1(I)$  consisting of skew products with quotient maps from  $C_{\partial_1}^1(I_1)$ .

# Special multifunctions: the $\Omega$ -function

**Definition 1.** The  $\Omega$ -function of a map  $F \in T^0(I)$  is the multifunction  $\zeta^F : \Omega(f) \rightarrow 2^{I_2}$  satisfying the equality

$$\zeta^F(x) = (\Omega(F))(x)$$

for any  $x \in \Omega(f)$ , where  $(\Omega(F))(x) = \{y \in I_2 : (x; y) \in \Omega(F)\}$  is the slice of the nonwandering set  $\Omega(F)$  by the vertical fiber over a point  $x$ ,  $2^{I_2}$  is the topological space of closed subsets of  $I_2$  with the exponential topology.

Let  $n$  be a natural number,

$$F_n(x, y) = (id(x), g_{x, n}(y)), \quad F_{n,1}(x, y) = (f^n(x), id(y)),$$

where  $id(x)$  and  $id(y)$  are the identity maps of the closed intervals  $I_1$  and  $I_2$ , respectively, and  $F_n, F_{n,1} : I \rightarrow I$ . Then we have:

$$F^n = F_{n,1} \circ F_n. \tag{4}$$

# Special multifunctions: auxiliary and suitable functions to the $\Omega$ -function

**Definition 2.** An auxiliary multifunction of a map  $F \in T_*^1(I)$  is a multifunction  $\eta_n : \Omega(f) \rightarrow 2^{I_2}$  satisfying

$$\eta_n(x) = \Omega(g_{x,n})$$

for any  $x \in \Omega(f)$ , where  $\Omega(g_{x,n})$  is the nonwandering set of a map  $g_{x,n} : I_2 \rightarrow I_2$ .

A function  $\bar{\eta}_n : \Omega(f) \rightarrow 2^{I_2}$  is said to be a multifunction suitable to the  $\Omega$ -function of a map  $F \in T_*^1(I)$  if the graph of  $\bar{\eta}_n$  in  $I$  is the closure of the graph of the auxiliary function  $\eta_n$ . We have

$$\bar{\eta}_n(x) = (\bar{\eta}_n)(x) \text{ for any } x \in \Omega(f).$$

Here  $(\bar{\eta}_n)(x)$  denotes the slice of the graph of  $\bar{\eta}_n$  by the fibre over  $x$  (or, equivalently, the slice of the closure of the graph of  $\eta_n$ ).



# Special multifunctions: approximating functions to the $\Omega$ -function

Having defined auxiliary functions  $\eta_n$  (suitable functions  $\bar{\eta}_n$ ) for all  $n > 1$ , we must move each point  $(x; y)$  on the graph of  $\eta_n$  (on the graph of  $\bar{\eta}_n$ , respectively) to the point  $(f^n(x); y)$  using the direct product  $F_{n,1}$  (see equality (4)). We can therefore define multifunctions  $\eta_{n,1} : \Omega(f) \rightarrow 2^{I_2}$  ( $\bar{\eta}_{n,1} : \Omega(f) \rightarrow 2^{I_2}$ ),  $n > 1$ , by the equalities

$$\eta_{n,1}(x) = (F_{n,1}(\eta_n))(x) \quad (\bar{\eta}_{n,1}(x) = (F_{n,1}(\bar{\eta}_n))(x))$$

for any  $x \in \Omega(f)$ . Here  $\eta_n$  ( $\bar{\eta}_n$ ) is the graph of the corresponding multifunction in  $I$ , and  $(F_{n,1}(\eta_n))(x)$  ( $(F_{n,1}(\bar{\eta}_n))(x)$ ) is the slice of the set  $F_{n,1}(\eta_n)$  (of the set  $F_{n,1}(\bar{\eta}_n)$ ) by the fibre over  $x \in \Omega(f)$ .

# Preliminaries for Decomposition theorem

Let  $F \in T_*^1(I)$  be a skew product with a quotient map of type  $\succ 2^\infty$ . Then by Proposition 1 the perfect part of the nonwandering set of its quotient map  $\Omega_p(f)$  is not empty. Let  $K(f) \subset \Omega_p(f)$  be a locally maximal quasiminimal set of  $f$ , and  $\tau(f|_{K(f)})$  be the set of the (least) periods of periodic points of  $f|_{K(f)}$ . There exist natural numbers  $m_0 = m_0(K(f))$ ,  $i_0 = i_0(K(f))$  and a finite subset  $N_* = N_*(K(f))$  of the set  $\mathbf{N}$  of natural numbers (possibly, empty) such that

$$\tau(f|_{K(f)}) = \{m_0 i\}_{i \geq i_0} \cup N_*.$$

We need the following natural numbers:

$$m_* = \text{l.c.m.}_{K(f) \subset \Omega_p(f)} \{m_0(K(f))\},$$

$$n_* = \text{l.c.m.}_{K(f) \subset \Omega_p(f)} \{n \in N_*(K(f))\},$$

$$i_* = \max_{K(f) \subset \Omega_p(f)} \{i_0(K(f))\}.$$

# Skew products satisfying condition **H** (strong condition **H**)

**Definition 3.** We say that a skew product  $F \in T_*^1(I)$  with a quotient map of type  $\succ 2^\infty$  satisfies condition **H** (strong condition **H**) if for any sequence of natural numbers  $\{l_i^*\}_{i \geq i_*}$  with

$$l_i^* = m_* n_* i, \quad (5)$$

the multifunctions  $\bar{\eta}_{l_i^*}$  (the multifunctions  $\eta_{l_i^*}$  respectively) are continuous for all  $i \geq i^*$ , where  $i^* \geq i_*$ .

Let us also note that if a skew product  $F \in T_*^1(I)$  with a quotient map of type  $\succ 2^\infty$  satisfies condition **H** (strong condition **H**) then the sequence  $\{\bar{\eta}_{l_i^*}\}_{i \geq 1}$  (the sequence  $\{\eta_{l_i^*}\}_{i \geq 1}$ ) can only contain a finitely many discontinuous functions.

# Main subspaces of the space $T_*^1(I)$

We denote the subspace of  $T_*^1(I)$  consisting of skew products whose quotient maps have type  $\succ 2^\infty$  and satisfy strong condition **H** by  $T_{*,1}^1(I)$ ,

and the subspace of  $T_*^1(I)$  consisting of skew products whose quotients have type  $\succ 2^\infty$  and satisfy condition **H**, but do not satisfy strong condition **H**, by  $T_{*,2}^1(I)$ .

We let  $T_{*,3}^1(I)$  denote the subspace of  $T_*^1(I)$  consisting of maps with quotients of type  $\succ 2^\infty$ , each of which has a sequence of suitable functions  $\{\bar{\eta}_i\}_{i>0}$  containing infinitely many discontinuous functions and has a continuous  $\Omega$ -function.

Finally, we let  $T_{*,4}^1(I)$  denote the subspace of  $T_*^1(I)$  consisting of maps with quotients of type  $\succ 2^\infty$ , each of which has a sequence of suitable functions  $\{\bar{\eta}_i\}_{i>0}$  containing infinitely many discontinuous functions and has a discontinuous  $\Omega$ -function.

The subspaces  $T_{*,1}^1(I) - T_{*,4}^1(I)$  are pairwise disjoint.

# Decomposition theorem

**Decomposition theorem.** *Each of the subspaces  $T_{*i}^1$ , for  $1 \leq i \leq 4$  is nonempty, and their union  $\bigcup_{i=1}^4 T_{*,i}^1(I)$  coincides with the part of the space  $T_*^1(I)$  consisting of skew products with quotients of type  $\succ 2^\infty$ .*

Let  $\tilde{T}_*^1(I)$  be the subspace of maps  $F \in T_*^1(I)$  satisfying the inclusion

$$F(\partial I) \subseteq \partial I,$$

where  $\partial I$  is the boundary of  $I$ .

L.S. Efremova, A decomposition theorem for the space of  $C^1$ -smooth skew products with complicated dynamics of the quotient map, Mat.Sb., [English translation], Sb. Math., **204**:11 (2013), pp. 1598–1623.

# The concept of stability as a whole of a family of fibers maps

**Definition 4.** We say that a family of fibers maps of a skew product  $F \in \tilde{T}_*^1(I)$  with a quotient map of type  $\succ 2^\infty$  is stable as a whole in  $C^1$ -norm if for any  $\delta > 0$  there is a neighborhood  $B_\varepsilon^1(F)$  of  $F$  in  $\tilde{T}_*^1(I)$  such that for any map  $\Phi \in B_\varepsilon^1(F)$ , where  $\Phi(x, y) = (\varphi(x), \psi_x(y))$ , and any  $I_i^*$  ( $i \geq i^*$  for some  $i^* \geq i_*$ ) one can find  $\delta$ -close to the identity map in  $C^0$ -norm homeomorphism

$$H^{<I_i^*>} : \bar{\eta}_{I_i^*}^F \rightarrow \bar{\eta}_{I_i^*}^\Phi \quad (H^{<I_i^*>}(x, y) = (h_1(x), h_{2,x}^{<I_i^*>}(y)))$$

satisfying the equality

$$h_{2,x}^{<I_i^*>} \circ \bar{\eta}_{I_i^*}^F(x) \circ g_{x, I_i^*} \circ \bar{\eta}_{I_i^*}^F(x)(y) = \psi_{h_1(x), I_i^*} \circ \bar{\eta}_{I_i^*}^\Phi(h_1(x)) \circ h_{2,x}^{<I_i^*>} \circ \bar{\eta}_{I_i^*}^F(x)(y),$$

where  $(x; y)$  is a point of the graph of a function  $\bar{\eta}_{I_i^*}^F$  in  $I$ .

**Theorem 1.** *A family of fibers maps of a skew product  $F \in \tilde{T}_*^1(I)$  with a quotient map of type  $\succ 2^\infty$  is stable as a whole in  $C^1$ -norm iff for any  $\delta > 0$  there exists a neighborhood  $B_\varepsilon^1(F)$  of  $F$  in  $\tilde{T}_*^1(I)$  such that for any map  $\Phi \in B_\varepsilon^1(F)$  and any  $I_i^*$  ( $i \geq i^*$  for  $i^* \geq i_*$ ) one can find  $\delta$ -close to the identity map in  $C^0$ -norm homeomorphism  $H^{<I_i^*>} : \bar{\eta}_{I_i^*}^F \rightarrow \bar{\eta}_{I_i^*}^\Phi$  of skew products class possessing the property:  
 maps  $F_{I_i^*}|_{\Omega(f) \times I_2}$  and  $\Phi_{I_i^*}|_{\Omega(\varphi) \times I_2}$  are  $\Omega$ -conjugate under homeomorphism  $H^{<I_i^*>}$ .*

# Qualitative necessary condition of stability as a whole of a family of fibers maps

**Theorem 2.** *Let  $F \in \tilde{T}_*^1(I)$  have a quotient map of type  $\succ 2^\infty$  and have a stable as a whole in  $C^1$ -norm family of fibers maps. Then  $F$  satisfies strong condition **H** and has a continuous  $\Omega$ -function.*

Theorem 2 shows that  $C^1$ -smooth skew products of maps of an interval with stable as a whole family of fibers maps belong to the subspace  $T_{*,1}^1(I)$ .



**Definition 5.** We say that a map  $F \in \widetilde{T}_*^1(I)$  is  $\Omega$ -stable in  $C^1$ -norm (in the space  $\widetilde{T}_*^1(I)$ ) if for any  $\delta > 0$  there exists  $\varepsilon > 0$  such that for any map  $\Phi \in B_\varepsilon^1(F)$ ,  $\Phi(x, y) = (\varphi(x), \psi_x(y))$ , one can find  $\delta$ -close in  $C^0$ -norm to the identity map homeomorphism

$$H : \Omega(F) \rightarrow \Omega(\Phi), \quad H(x, y) = (h_1(x), h_{2,x}(y)),$$

such that for every point  $(x; y) \in \Omega(F)$  the equalities hold:

$$\begin{aligned} h_1 \circ f|_{\Omega(F)}(x) &= \varphi \circ h_1|_{\Omega(F)}(x); \\ h_{2, f(x)|(\Omega(F))(f(x))} \circ g_x|(\Omega(F))(x)(y) &= \\ \psi_{h_1(x)|(\Omega(\Phi))(h_1(x))} \circ h_{2,x}|(\Omega(F))(x)(y). \end{aligned}$$

**Theorem 3.** *A skew product  $F \in \tilde{T}_*^1(I)$  with a quotient map of type  $\succ 2^\infty$  is  $\Omega$ -stable in  $C^1$ -norm iff its family of fibers maps is stable as a whole in  $C^1$ -norm.*

**Corollary 1.** *Let a skew product  $F \in \tilde{T}_*^1(I)$  with a quotient map of type  $\succ 2^\infty$  be  $\Omega$ -stable in  $C^1$ -norm. Then  $F \in \tilde{T}_{*,1}^1(I)$ , and the  $\Omega$ -function of  $F$  is continuous.*

*Moreover, for any different periodic points  $x'$ ,  $x''$  ( $m(x')$  and  $m(x'')$  are the (least) periods of  $x'$  and  $x''$  respectively) of every locally maximal quasiminimal set  $K(f)$  of a quotient map  $f$ , fibers maps  $\tilde{g}_{x'}^{m'/m(x')}$  and  $\tilde{g}_{x''}^{m''/m(x'')}$  are  $\Omega$ -conjugate, where  $m'$  is the least common multiple of numbers  $m(x')$  and  $m(x'')$ .*

**Theorem 4.** *There exists a skew product  $F \in \tilde{T}_{*,1}^1(I)$  with a quotient map of type  $\succ 2^\infty$  such that some its neighborhood  $B_\varepsilon^1(F)$  in the space  $\tilde{T}_{*,1}^1(I)$  does not contain  $\Omega$ -stable skew products of maps of an interval.*

# The concept of dense stability as a whole of a family of fibers maps I

**Definition 6.** A family of fibers maps of a skew product  $F \in \tilde{T}_{*,j}^1(I)$  ( $j = 2, 3, 4$ ) with a quotient map of type  $\succ 2^\infty$  is densely stable as a whole in  $C^1$ -norm if there is an open everywhere dense in  $\Omega(f)$  set  $A(f) \subset \Omega(f)$ ,  $A(f) \neq \Omega(f)$ , such that for any  $\delta > 0$  there is a neighborhood  $B_\varepsilon^1(F)$  of  $F$  in  $\tilde{T}_{*,j}^1(I)$  such that for any map  $\Phi \in B_\varepsilon^1(F) \cap \tilde{T}_{*,j}^1(I)$  and any  $l_i^*$  ( $i \geq i^*$  for some  $i^* \geq i_*$ ) one can find  $\delta$ -close to the identity map in  $C^0$ -norm homeomorphism

$$H^{<l_i^*>} : \bar{\eta}_{l_i^*}^F|_{A(f)} \rightarrow \bar{\eta}_{l_i^*}^\Phi|_{A(\varphi)} \quad (H^{<l_i^*>}(x, y) = (h_1(x), h_{2,x}^{<l_i^*>}(y)))$$

satisfying the equalities

# The concept of dense stability as a whole of a family of fibers maps II

$$h_{2,x}^{<I_i^*>} \circ \bar{\eta}_{I_i^* | A(f)}^F(x) \circ g_{x, I_i^* | \bar{\eta}_{I_i^* | A(f)}^F}(y) = \\ \psi_{h_1(x), I_i^* | \bar{\eta}_{I_i^* | A(\varphi)}^\Phi}(h_1(x)) \circ h_{2,x}^{<I_i^*>} \circ \bar{\eta}_{I_i^* | A(f)}^F(x)(y),$$

where  $(x; y)$  is a point of the graph of a function  $\bar{\eta}_{I_i^* | A(f)}^F$  in  $I$ .

**Theorem 5.** *There exists a map  $F_j \in \tilde{T}_{*,j}^1(I)$  for every  $j = 2, 3, 4$  possessing densely stable as a whole in  $C^1$ -norm family of fibers maps.*

**Theorem 6.** *Any map  $F \in \tilde{T}_{*,j}^1(I)$  ( $j = 3$  or  $4$ ) with a quotient of type  $\succ 2^\infty$  and densely stable as a whole in  $C^1$ -norm family of fibers maps such that for every  $x', x'' \in A(f)$  and every  $i \geq i^*$  fibers maps  $g_{x', I_i^*}, g_{x'', I_i^*}$  belong to a same connected component  $C_i$  of the space  $C_\omega^1(I_2)$ , can be approximated up to an arbitrary accuracy with the use of  $C^1$ -smooth  $\Omega$ -stable skew products of maps of an interval.*